Annexure 1

Addition and Allocation of Collateral

- All Cash, FDR and SBLC placed towards margin deposit shall be available as common pool for allocation to CM Prop/TM Prop/Clients.
- CMs can use the file upload mechanism as per the format specified for allocation of collateral from common pool to CM Prop/TM Prop/Clients.
- The amount mentioned in the file would be the final allocation requested amount for the mentioned combination.
- Accordingly, the value provided in the file shall replace the previous values for the mentioned combination.
- Any amount added as collateral and not allocated shall remain as un-allocated collateral in the common pool. No exposure for the same shall be provided to any entity for such un- allocated collateral.
- CMs shall provide records for allocation only where there is change in the value of allocation. In order to avoid rejections of file, CMs shall ensure that records where there is no change in value of allocation are not included.
- CMs shall upload the file allocation in Collateral Management module.
- Examples for allocation of fresh collateral

CM adds following collateral

Cash	10,00,000
FDR	50,00,000
SBLC	30,00,000
Total	**90,00,000**

Current and Proposed Allocation

Entity	**Amount already Allocated**	**Amount Proposed to be allocated**
CM 1 Prop	1,30,00,000	1,45,00,000
TM XYZ Prop	40,00,000	50,00,000
TM XYZ Cli ABC	0	10,00,000
TM XYZ Cli DEF	5,00,000	10,00,000
TM 123 Prop	50,00,000	70,00,000
TM 123 Cli 456	5,00,000	35,00,000
Total	**2,30,00,000**	**3,20,00,000**

The file to be uploaded by the CM shall have following records

Current Date	**Seg**	**CM Code**	**TM Code**	**CP Code**	**Cli Code**	**Acc Type**	**Amount**	**Filler1**	**Filler2**	**Filler3**	**Filler4**	**Filler5**	**Filler6**	**Action**
01-Mar-24	CO	CM1				P	1,45,00,000							U
01-Mar-24	CO	CM1	XYZ			P	50,00,000							U
01-Mar-24	CO	CM1	XYZ		ABC	C	10,00,000							U
01-Mar-24	CO	CM1	XYZ		DEF	C	10,00,000							U
01-Mar-24	CO	CM1	123			P	70,00,000							U
01-Mar-24	CO	CM1	123		456	C	35,00,000							U